

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P99,2403

First Name, Surname, and Application Identifier

Shuji Goto et al,

Express Mail Label No:

02/16/00  
09/504813  
02/17/00

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 27]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 5]  
3. ☒ Declaration and Power of Attorney [Total Pages 2]  
a. ☐ Newly executed declaration (Original copy)  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

- [Note Box 4 Below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☒ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
9. ☒ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired  
12. ☒ Certified Copy of Priority Document(s) Japanese  
Application No. P11-041455 filed February 19, 1999  
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: /

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$690.00
TOTAL CLAIMS 20	8			
INDEPENDENT CLAIMS 3	3			
ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO				
			TOTAL FILING FEE ->	\$690.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 690.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

HILL & SIMPSON  
A Professional Corporation  
233 South Wacker Drive - 85th Floor Sears Tower  
Chicago, Illinois 60606  
Telephone (312) 876-0200 - Fax (312) 876-0898

SIGNATURE:  
491:1190  
U-11

DATE: February 15, 2000

A PROFESSIONAL CORPORATION  
ATTORNEYS AND COUNSELORS AT LAW  
CHICAGO, ILLINOIS 60606-6592

JOHN D. SIMPSON\*  
JAMES A. MOEHLING  
DENNIS A. GROSS  
ROBERT M. BARRETT  
STEVEN H. NOLL  
KEVIN W. GUYNN  
SCOTT W. PETERSEN  
ROBERT M. WARD  
BRETT A. VALIQUET  
GEORGE C. SUMMER  
LEWIS T. STEADMAN,  
EDWARD A. LEHMAN  
DAVID R. METZGER  
TODD S. PARKHURST  
JOHN R. NYWEIDE  
JAMES D. HOBART  
MELVIN A. ROBINSON  
JOHN R. GARRETT  
C. GRANT MCCORKHILL  
JOSEPH P. REAGEN  
MICHAEL P. HILL

PATRICIA A. KANE  
MICHAEL S. LEONARD  
WILLIAM E. VAUGHAN  
BRYAN J. LEMPIA  
PATRICK B. LAW\*\*\*  
THOMAS C. BASSO  
MARK BERGNER

LEWIS T. STEADMAN  
JAMES VAN SANTEN  
MARVIN MOODY  
J. ARTHUR GROSS  
OF COUNSEL

DOLORES K. HANNA  
SPECIAL TRADEMARK COUNSEL  
CHRISTOPHER P. RAUCH  
REG. PAT. AGENT  
\*\*MICHIGAN BAR ONLY  
\*\*\*MARYLAND AND MISSOURI BARS

CHICAGO OFFICE  
85TH FLOOR SEARS TOWER  
CHICAGO, ILLINOIS 60606  
TELEPHONE (312) 876-0200  
FACSIMILE (312) 876-0898  
E-MAIL: [counsel@hillfirm.com](mailto:counsel@hillfirm.com)

WASHINGTON OFFICE  
SUITE 1004-BLDG. 1  
2001 JEFFERSON DAVIS HIGHWAY  
CRYSTAL CITY  
ARLINGTON, VIRGINIA 22202  
TELEPHONE (703) 415-1515

\*MUNICH OFFICE  
FRANZ-JOSEPH STRASSE 38  
D-80801 MUNICH, GERMANY  
49-89-3840720

Hon. Assistant Commissioner for Patents  
Washington D.C. 20231

Re: Proposed Patent Application for SHUJI GOTO and KOICHIRO KEZUKA  
entitled "SOLID-ELECTROLYTE BATTERY AND MANUFACTURING  
METHOD THEREFOR", Attorney Docket No. P99,2486

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 8 claims, 5 sheets of informal drawings and filing fee on behalf of SHUJI GOTO and KOICHIRO KEZUKA and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,

John D. Simpson (Reg. No. 19,842)  
Attorney for Applicant